

Name of Applicant: _____

Individual Resident License Application Questionnaire.

1. Have you ever been convicted of a crime, had a judgment withheld or deferred, or have you been charged with committing a crime?

"Crime" includes a misdemeanor, felony or a military offense. You may exclude misdemeanor traffic citations or convictions involving driving under the influence (DUI) or driving while intoxicated (DWI), driving without a license, reckless driving, or driving with a suspended or revoked license and juvenile offenses. "Convicted" includes, but is not limited to, having been found guilty by verdict of a judge or jury, having entered a plea of guilty or nolo contendere, or having been given probation, a suspended sentence or a fine.

Yes _____

No _____

If you answer yes, you must submit:

- a) a written statement explaining the circumstances of each incident,
- b) a certified copy of the charging document,
- c) a certified copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Comments: _____

2. Have you or any business in which you are or were an owner, partner, officer or director, or member or manager of limited liability company, ever been involved in an administrative proceeding regarding any professional or occupational license, or registration?

"Involved" means having a license censured, suspended, revoked, canceled, terminated; or, being assessed a fine, a cease and desist order, a prohibition order, a compliance order, placed on probation or surrendering a license to resolve an administrative action. "Involved" also means being named as a party to an administrative, investigative, or arbitration proceeding, which is related to a professional or occupational license, including any investigation by the Minnesota Department of Commerce. "Involved" also means having a license application denied or the act of withdrawing an application to avoid a denial. You may EXCLUDE terminations due solely to noncompliance with continuing education requirements or failure to pay a renewal fee.

Yes _____

No _____

If you answer yes, you must submit:

- a) a written statement identifying the type of license and explaining the circumstances of each incident,
- b) a certified copy of the Notice of Hearing or other document that states the charges and allegations, and
- c) a certified copy of the official document, which demonstrates the resolution of the charges or any final judgment.

Comments: _____

3. Has any judgment been rendered against you or any business in which you are or were an owner, partner, officer, director, or member or manager of limited liability company, for overdue monies, or Have you ever been

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subject to a bankruptcy proceeding?

Yes _____

No _____

If yes, you must submit a signed and dated Discharge of Debtor Document summarizing the details of the indebtedness and arrangements for repayment, before your application will be processed.

Comments: _____

4. Have you been notified by any jurisdiction to which you are applying of any delinquent tax obligation that is not the subject of a repayment agreement? If you answer yes, identify the jurisdiction(s):

Yes _____

No _____

Comments: _____

5. Have you ever been a party to, a defendant, or found liable in, any lawsuit or arbitration proceeding involving allegations of fraud, misappropriation or conversion of funds, misrepresentation, breach of fiduciary duty, or breach of contract?

Yes _____

No _____

If you answer yes, you must attach to this application:

- a) a written statement summarizing the details of each incident,
- b) a copy of the Petition, Complaint or other document that commenced the lawsuit or arbitration, and
- c) a copy of the official document which demonstrates the resolution of the charges or any final judgment.

Comments: _____

6. Do you have a child support obligation in arrearage?

Yes _____

No _____

If you answer yes,

- a. by how many months are you in arrearage?
- b. are you currently subject to a repayment agreement?
- c. are you the subject of a child support related subpoena/warrant?

Comments: _____

7. Have you ever been licensed as a real estate Broker or Salesperson in any state other than Minnesota?

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Yes _____

No _____

If yes, and this application is for a new MN license, list each state where applicant has ever held any real estate license in the Comment section. Mail a Certification of Licensure (less than 90 days old) from each state listed in the Comment section to the Minnesota Department of Commerce, ATTN: Real Estate Processing, 85 7th Place East, Suite 285, St. Paul, MN 55101, along with the application confirmation page.

If yes and the application is to reactivate a MN license, provide the MN license expiration date and list each state where applicant held a real estate license after the expiration date in the Comment Section. Mail a Certification of Licensure (less than 90 days old) from each state listed in the Comment section to the Minnesota Department of Commerce, ATTN: Real Estate Processing, 85 7th Place East, Suite 285, St. Paul, MN 55101, along with the application confirmation page.

Comments: _____

8. Do you have any unclaimed property to report under Minnesota Statutes §345.37 * ?

* Minnesota Statutes §345.37 PROPERTY HELD BY FIDUCIARIES

All intangible personal property and any income or increment thereon, held in a fiduciary capacity for the benefit of another person is presumed abandoned unless the owner has, within three years after it becomes payable or distributable, increased or decreased the principal, accepted payment of principal or income, corresponded in writing concerning the property, or otherwise indicated an interest as evidenced by a memorandum on file with the fiduciary if: (a) the property is held by a banking organization or a financial organization or by a business association organized under the laws of or created in this state; or (b) it is held by a business association, doing business in this state, but not organized under the laws of or created in this state, and the records of the business association indicate that the last known address of the person entitled thereto is in this state; or (c) it is held in this state by any other person.

Yes _____

No _____

Comments: _____

If your answer to any of these questions requires documentation to be provided to the MN Dept. of Commerce, broker must FAX it to: 651-284-4107, along with a copy of the application confirmation page.

WITNESS: _____
Signature of Sales Agent/Applicant

Date